



In re application of: Park et al.  
Serial No.: 09/992,474 Group: Art Unit 2813  
Filed: November 14, 2001 Examiner: Kielin, Erik J.  
For: **STRUCTURE AND METHOD OF PRESERVE STI DURING ETCHING**

**Mail Stop Non-Fee Amendment**  
Commissioner for Patents  
PO Box 1450  
Alexandria VA 22313

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**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.  
☒ No additional fee is required.

The fee has been calculated as shown below:

	(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDIT. FEE
TOTAL	14 *	MINUS 20**	= 0	X 9	\$ 0
INDEP.	1 *	MINUS 3***	= 0	X 42	\$ 0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			X	140	\$ 0
TOTAL					OR TOTAL \$ 0
ADDIT. FEE					\$ 0

\*If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\*If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".


\*\*\*If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge Deposit Account No. \_\_\_\_ in the amount of \$\_\_\_\_. Two (2) copies of this sheet are enclosed.  
☐ Please charge fee of \$\_\_\_\_ for \_\_\_\_\_ by Credit Card Payment Form PTO-2038 enclosed herewith  
☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0679. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0679 therefor. **TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.**

Respectfully submitted,

F. CHAU & ASSOCIATES  
1900 Hempstead Turnpike  
Suite 501  
East Meadow, NY 11554  
Tel: (516) 357-0091  
Fax: (516) 357-0092

  
Frank Chau  
Reg. No. 34,136  
Attorney for Applicant

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Mail Stop Non Fee Amendment, Commissioner for Patents, PO Box 1450, Alexandria VA 22313 on May 27, 2003.

Dated: 5/27/03

  
Frank Chau



PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Park et al.

Examiner: Kielin, Erik J.

Serial No: 09/992,474

Group Art Unit: 2813

Filed: November 14, 2001

Docket: 8071-5 (OPP 000681US)

For: **LIQUID CRYSTAL DISPLAY HAVING IMPROVED CONTRAST RATION AND COLOR REPRODUCTION WHEN VIEWED IN THE LATERAL DIRECTION**

Mail Stop Non-Fee Amendment  
Commission for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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**RESPONSE TO RESTRICTION REQUIREMENT**

Sir:

In response to the office action dated April 24, 2003, Applicant provisionally elects claims 1-8, with traverse. Claims 1-14 are pending in the current application.

The Examiner states that the first embodiment is shown in Figure 1B and the second embodiment is shown Figure 2. Further, the Examiner states no claim is generic and Applicant is required to elect a single disclosed species for prosecution on the merits.

Applicants respectfully disagree. Claim 1 has been amended in the accompanying preliminary amendment to further define the invention. Applicants respectfully submit

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**CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)**

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Dated: 5/27/03

  
Frank Chau

that the restriction requirement is improper because, at the very least, each of the independent claims 1 and 9 read on both Figure 1B and Figure 2. Thus, both embodiments are embraced by claims 1-8 and 9-14, and the restriction requirement is believed to be improper. The Examiner is encouraged to maintain all claims in the same application for the reasons stated above.

Early and favorable consideration of this application is earnestly solicited.

Respectfully Submitted

By:   
Frank Chau  
Reg. No. 34,136  
Attorney for Applicants

F.CHAU & ASSOCIATES, LLP  
1900 Hempstead Turnpike, Suite 501  
East Meadow, New York 11554  
Telephone: (516) 357-0091  
Facsimile: (516) 357-0092